Inhomogeneous phases in the Gross-Neveu model (and beyond)

Laurin Pannullo,

Journal Club, 10.11.2023

Based on

[M. Thies, K. Urlichs, *Phys. Rev. D.* 67 (2003)]
 [M. Thies, *Phys. Rev. D.* 69 (2004)]
 [O. Schnetz *et al.*, *Ann. Phys.* 314 (2004)]











[K. Fukushima, T. Hatsuda, Reports on Prog. Phys. 74 (2011)]

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 - Do first principal calculations \Rightarrow very hard / impossible



- adapted from [W.-j. Fu *et al., Phys. Rev. D.* **101** (2020)]
 - What we know about the QCD phase diagram from lattice and functional methods





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 - \Rightarrow a lot easier; questionable physical relevance



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 - Maybe chiral inhomogeneous phases?



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- Possible chiral phases
 - $\langle \bar{\psi}\psi \rangle(x) = \text{const.} = 0$: Symmetric phase (SP)
 - $\langle \bar{\psi}\psi \rangle(x) = \text{const.} \neq 0$: Homogeneously broken phase (HBP)

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 - $\langle \bar{\psi}\psi \rangle(x) = \text{const.} \neq 0$: Homogeneously broken phase (HBP)
 - $\langle \bar{\psi}\psi \rangle(x) = f(x)$: Inhomogeneous phase (IP)
- IP breaks chiral symmetry and translational invariance (!)
- Well known in condensed matter, exotic in high energy physics



[K. Fukushima, T. Hatsuda, Reports on Prog. Phys. 74 (2011)]

Outline

- The (1+1)-dimensional Gross-Neveu model: Where?
- Inhomogeneous condensation in the (1 + 1)-dimensional Gross-Neveu model: How?
 [M. Thies, K. Urlichs, *Phys. Rev. D.* 67 (2003)]
 [O. Schnetz *et al., Ann. Phys.* 314 (2004)]
- Tales from condensed matter physics: Peierls instability: "Why?"
- Where to go from here?

• Gross-Neveu (GN) model in 1+1 dimensions in the large-N limit / mean-field approximation

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Four-Fermion vertex effectively describes gluonic interactions

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- assume homogeneous fields $\sigma = \bar{\sigma}$
- Minimize the effective action in $\bar{\sigma}$

$$\frac{S_{\text{eff}}(\bar{\sigma})}{V\beta} = \frac{\bar{\sigma}^2}{4G} - \frac{1}{V\beta}\ln\text{Det}(\partial + \gamma_0\mu + \bar{\sigma})$$



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• However, μ_c should be $2/\pi \approx 0.64$ instead of $1\sqrt{2} \approx 0.71!$ [R. F. Dashen *et al.*, *Phys. Rev. D.* 12 (1975)]



[U. Wolff, Phys. Lett. 157B (1985)]

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assume time independent, inhomogeneous, periodic fields $\sigma = \sigma(x) = \sigma(x + \lambda)$ How to determine $\Sigma(x)$? Parametrization: Gap equation: • Parametrize $\sigma(x)$ and calculate $0 = \frac{\delta}{\delta\sigma(y)} S_{\text{eff}}[\sigma] \bigg|_{\sigma}$ 1-particle energies $= \frac{\delta}{\delta\sigma(\gamma)} \left[\int d^2 x \, \frac{\sigma^2}{4G} - \ln \text{Det}(\partial + \gamma_0 \mu + \sigma) \right]_{\sigma=\Sigma}$

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$$\left[-\mathrm{i}\gamma^5\frac{\partial}{\partial x}+\gamma^0\sigma(x)\right]\psi(x)=E\psi(x)\,,\quad \gamma^0=-\sigma_1\,,\quad \gamma^1=\mathrm{i}\sigma_3\,,\quad \gamma^5=\gamma^0\gamma^1=-\sigma_2$$

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• Express spinors as $\psi = (\phi_+, \phi_-)$ to obtain the coupled equations

$$\pm \left[\frac{\partial}{\partial x} \mp \sigma \right] \phi_{\mp} = E \phi_{\pm},$$

which can be decoupled by squaring

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- Lots of analogies with SUSY
 - Schrödinger potentials $U_{\pm} = \mp \frac{\partial \sigma}{\partial x} + \sigma^2$ with same eigenvalues
 - σ is the so-called superpotential to U

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Gross-Neveu model: Parametrization of the scalar field (I)

Most naive parametrization:

• Put system in box L = Na and express σ as Fourier components

$$\sigma(x) = \sum_{l} \tilde{\sigma}_{l} \mathrm{e}^{\mathrm{i}2\pi l x/a}.$$

- Find energies via numerical diagonalization and use these in the calculation of the grand-canonical potential
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- There is a better way!

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• single baryon states were found to correspond to spatially dependent σ with reflectionless (necessary condition) Schrödinger potential well $U_{\pm} = -\frac{2y^2}{\cosh^2(yx\pm c_0)}$



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- single baryon states were found to correspond to spatially dependent σ with reflectionless (necessary condition) Schrödinger potential well $U_{\pm} = -\frac{2y^2}{\cosh^2(yx\pm c_0)}$
- \bullet "stitch" these potential wells together in distance d

$$\sum_{n=-\infty}^{\infty} \frac{1}{\cosh^2(x-nd)} = \text{ complicated stuff}$$



• the resulting Schrödinger potential is of the known Lamé-type with superpotential

$$\sigma(x) = A\kappa^2 \frac{\operatorname{sn}\left(Ax|\kappa^2\right) \operatorname{cn}\left(Ax|\kappa^2\right)}{\operatorname{dn}\left(Ax|\kappa^2\right)}$$

dn, cn, sn are Jacobi elliptic functions. A and κ are parameters of the ansatz.

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Gross-Neveu model: Solution of the Hartree-Fock equation

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- dimensionless variables: $\xi = Ax$, $\omega = E/A$
- HF equation for Lamé-superpotential

$$\left[-\frac{\partial^2}{\partial\xi^2} + 2\kappa^2 \operatorname{sn}\left(\xi|\kappa\right)\right]\phi_+ = (\omega^2 + \kappa^2)\phi_+.$$



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• Known solution for ϕ_+ and $\omega!$

$$|\omega| = \mathrm{dn}(\alpha|\kappa), \quad q = -\mathrm{i}AZ(\alpha) + \frac{A\pi}{2\mathbf{K}}, \quad \frac{\mathrm{d}q}{\mathrm{d}\omega} = \pm A \frac{\omega^2 - \mathbf{E}/\mathbf{K}}{\sqrt{(\omega^2 - 1 + \kappa^2)(\omega^2 - 1)}}$$





Gross-Neveu model: Grand-canonical potential

• Grand-canonical potential:

$$\begin{split} \Omega &= \frac{S_{\text{eff}}}{\beta V} = \\ &= -\frac{1}{\beta \pi} \int_0^{\Lambda/2} \mathrm{d}q \ln \left[\left(1 + \mathrm{e}^{-\beta (E-\mu)} \right) \left(1 + \mathrm{e}^{-\beta (E+\mu)} \right) \right] + \frac{1}{4GV} \int_{-\infty}^{\infty} \mathrm{d}x \sigma^2(x) = \end{split}$$

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• renormalize Ω and minimize in κ and A (very technical, not discussed here)









• What is going on? Why does the system break translational invariance?

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- This effect was identified in condensed matter model equivalent to GN



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- Electrons \equiv Fermions in the Gross-Neveu model
- potential of the atoms \equiv chiral condensate
- dispersion relation has pronounced gap at $p = \frac{\pi}{2\mathbf{K}} = \pi p_f$



[O. Schnetz et al., Ann. Phys. 314 (2004)]

Summary of what we learned

- The Gross-Neveu model is a very simple four-Fermion model
- It experiences spontaneous breaking of translation symmetry at finite density
- This is caused by the Peierls instability
- Very specific setting: Mean-field, 1+1 dimensions, simple model

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 - 2+1: Gross-Neveu and other models show no IP [M. Buballa et al., Phys. Rev. D. 103 (2021)]
 - 3+1: similar models show IP, but models are non-renormalizable or have other problems
 - [S. Carignano et al., Phys. Rev. D. 90 (2014)] [M. Buballa, S. Carignano, Prog. Part. Nucl. Phys. 81 (2015)]
 - [L. Pannullo et al., PoS. LATTICE2022 (2023)]

- These results were pretty exciting and there are several directions to explore
- Change the model
 - Other Four-Fermion models in 1+1 dimensions and mean-field also exhibit IP! e.g. [G. Basar et al., Phys. Rev. D. 79 (2009)]
- Relax mean-field approximation
 - Mermin-Wagner theorem should prevent spontaneous symmetry breaking in 1+1 D
 - Remants of IP, but no actual breaking of translational invariance remains
 - [J. Lenz et al., Phys. Rev. D. 101 (2020)]
 - higher dimensions? see next point
- Higher dimensions:
 - 2+1: Gross-Neveu and other models show no IP [M. Buballa et al., Phys. Rev. D. 103 (2021)]
 - 3+1: similar models show IP, but models are non-renormalizable or have other problems
 [S. Carignano *et al.*, *Phys. Rev. D.* 90 (2014)]
 [M. Buballa, S. Carignano, *Prog. Part. Nucl. Phys.* 81 (2015)]

 L. Pannullo *et al.*, *PoS.* LATTICE2022 (2023)]
 - d+1: IP only for d < 2, IP in d = 3 regulator dependent ("artifact")
 - [L. Pannullo, Phys. Rev. D. 108 (2023)]