

# Heavy quark spectral functions and charm diffusion

From correlators to spectral functions – case studies in the quenched approximation

- I) Thermal quarkonium physics in the pseudoscalar channel  
[Y. Burnier, H.-T. Ding, OK, A.L. Kruse, M. Laine, JHEP11 (2017) 206]
- II) Thermal quarkonium physics in the vector channel and heavy quark diffusion  
[H.T. Ding, O. Kaczmarek, A.-L. Lorenz, H. Ohno, H. Sandmeyer, H.T. Shu, arXiv:2108.13693]
- III) Heavy quark momentum diffusion coefficient  
[A.Francis, OK, M. Laine, T. Neuhaus, H. Ohno, PRD92(2015)116003]
- IV) Correlation functions under gradient flow – towards full QCD  
[L. Altenkort, A.M. Eller, OK, L. Mazur, G.D. Moore, PRD103 (2021) 014511]

# Spectral and transport properties in the QGP

Information on **bound states and their dissociation** encoded in the **spectral function** as well as

Thermal dilepton rate

$$\frac{dW}{d\omega d^3p} = \frac{5\alpha^2}{54\pi^3} \frac{1}{\omega^2(e^{\omega/T} - 1)} \rho_V(\omega, T)$$

[H.-T.Ding, F.Meyer, OK, PRD94(2016)034504]

Thermal photon rate

$$\omega \frac{dN_\gamma}{d^4x d^3q} = \frac{5\alpha}{6\pi^2} \frac{1}{e^{\omega/T} - 1} \rho_V(\omega = |\vec{k}|, T)$$

[J.Ghiglieri, OK, M.Laine, F.Meyer, PRD94(2016)016005]

Transport coefficients are encoded  
in the vector meson spectral function  
→ Kubo formulae

Diffusion coefficients:

$$DT = \frac{T}{2\chi_q} \lim_{\omega \rightarrow 0} \frac{\rho_{ii}(\omega)}{\omega}$$

On the lattice only correlation functions can be calculated

$$G(\tau, \vec{p}, T) = \int_0^\infty \frac{d\omega}{2\pi} \rho(\omega, \vec{p}, T) K(\tau, \omega, T) \quad \rightarrow \text{spectral reconstruction required}$$

$$K(\tau, \omega, T) = \frac{\cosh(\omega(\tau - \frac{1}{2T}))}{\sinh(\frac{\omega}{2T})}$$

In this talk: continuum extrapolated lattice correlation functions compared to perturbation theory  
for a comparison of Bayesian and stochastic reconstructions of spectral functions see  
[H.-T. Ding, OK, S. Mukherjee, H. Ohno, H.-T. Shu, PRD97(2018)094503]

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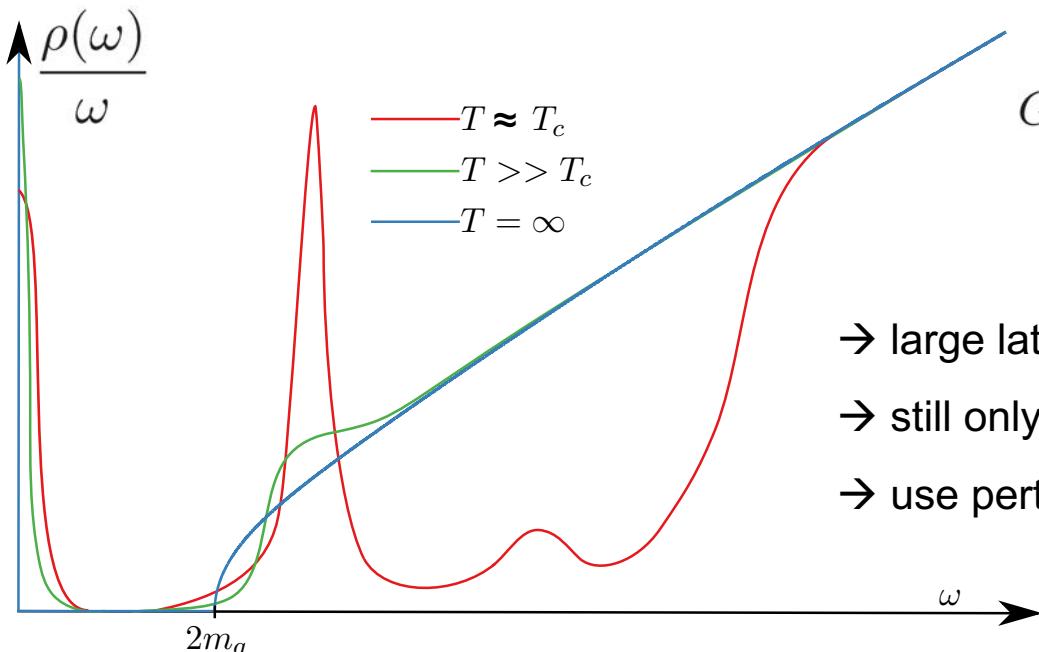
$$K(\tau, \omega, T) = \frac{\cosh(\omega(\tau - \frac{1}{2T}))}{\sinh(\frac{\omega}{2T})}$$

Different contributions and scales enter  
in the spectral function

- continuum at large frequencies
- possible bound states at intermediate frequencies
- transport contributions at small frequencies
- in addition cut-off effects on the lattice

## Spectral functions in the QGP

notoriously difficult to extract from correlation functions



(narrow) transport peak at small  $\omega$ :  $\rho(\omega \ll T) \simeq 2\chi_{00} \frac{T}{M} \frac{\omega\eta}{\omega^2 + \eta^2}, \quad \eta = \frac{T}{MD}$

$$\begin{aligned} G_{\mu\nu}(\tau, \vec{x}) &= \langle J_\mu(\tau, \vec{x}) J_\nu^\dagger(0, \vec{0}) \rangle \\ J_\mu(\tau, \vec{x}) &= 2\kappa Z_V \bar{\psi}(\tau, \vec{x}) \Gamma_\mu \psi(\tau, \vec{x}) \end{aligned}$$

- large lattices and continuum extrapolation needed
- still only possible in the quenched approximation
- use perturbation theory to constrain the UV behavior

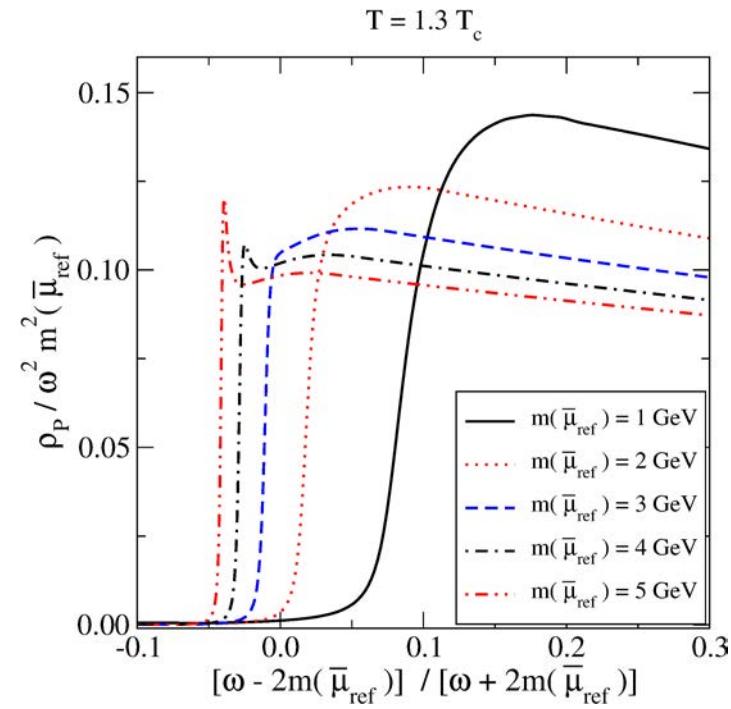
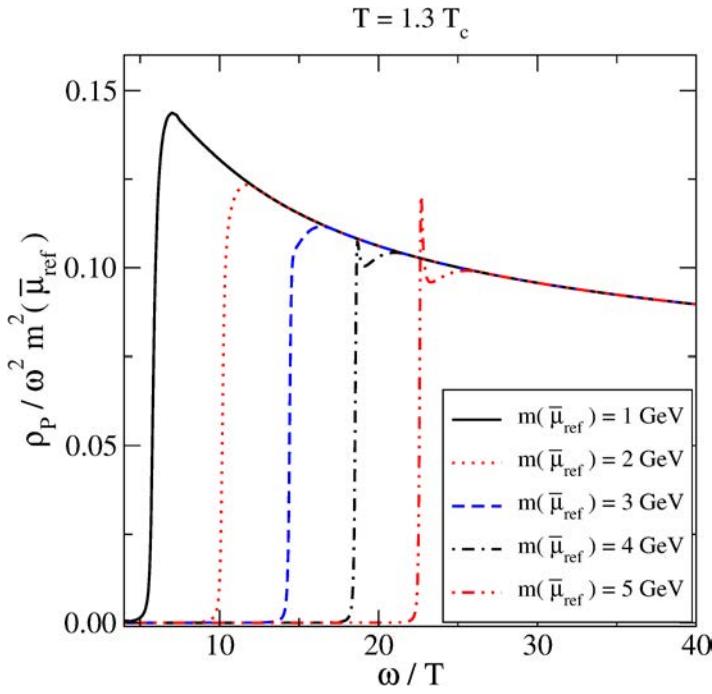
# Heavy Quarks in the QGP – Pseudo-scalar mesons

[Y. Burnier, H.-T. Ding, OK et al. JHEP11 (2017) 206]

Using **continuum extrapolated Euclidean correlation functions** from Lattice QCD

$$G_P(\tau) \equiv M_B^2 \int_{\vec{x}} \left\langle (\bar{\psi} i \gamma_5 \psi)(\tau, \vec{x}) (\bar{\psi} i \gamma_5 \psi)(0, \vec{0}) \right\rangle_c , \quad 0 < \tau < \frac{1}{T} ,$$

and best knowledge on the spectral function from **perturbation theory and pNRQCD** interpolated between different regimes



no transport contribution in pseudo-scalar channel channel

# Lattice set-up

quenched SU(3) gauge configurations (separated by 500 updates)

non-perturbatively O(a) clover improved Wilson fermion valence quarks

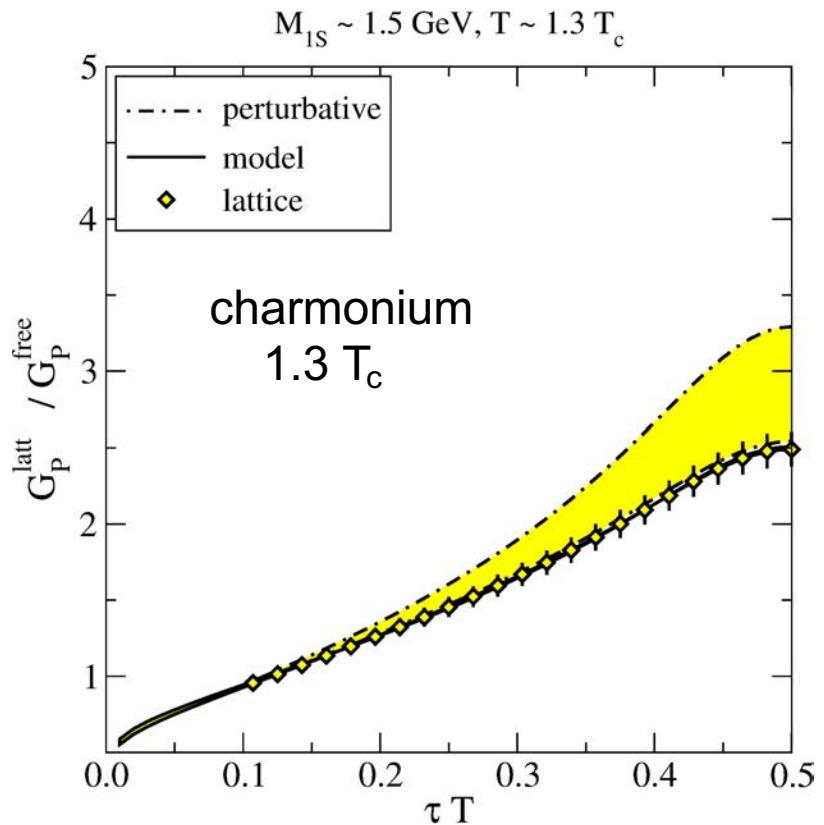
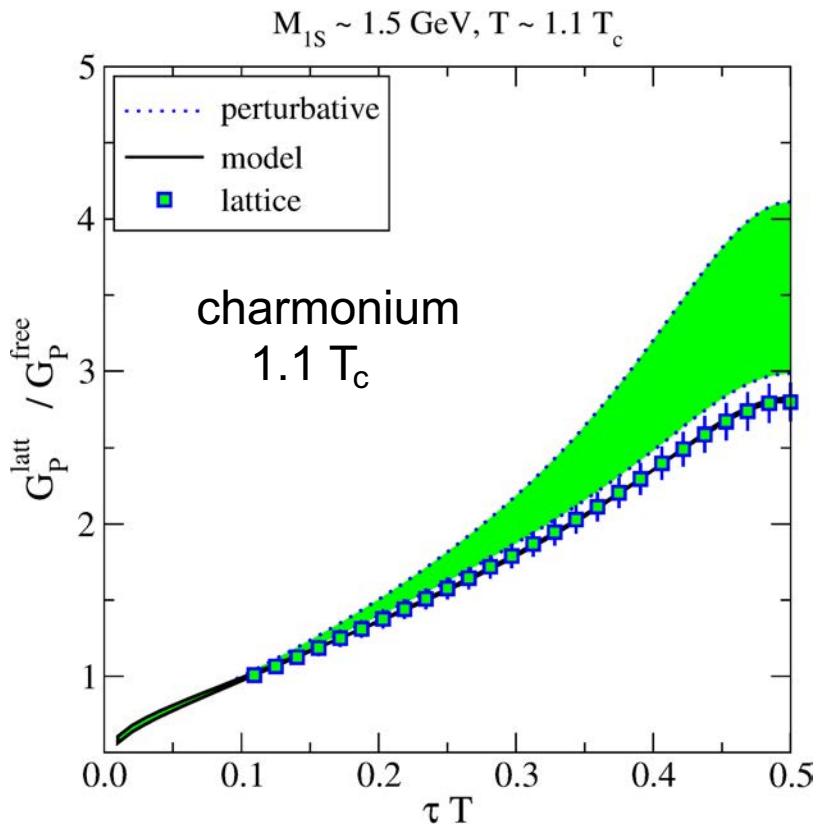
6 quark masses between charm and bottom → interpolate to physical c and b mass

$\beta$	$N_s$	$N_\tau$	confs	$r_0/a$	$T/T_c$	$c_{\text{SW}}$	$\kappa_c$	$\kappa$	$\frac{m^2(1/a)}{m^2(\bar{\mu}_{\text{ref}})}$
7.192	96	48	237	26.6	0.74	1.367261	0.13442	0.12257, 0.12800, 0.13000, 0.13100, 0.13150, 0.13194	0.6442
		32	476		1.12				
		28	336		1.27				
		24	336		1.49				
		16	237		2.23				
7.394	120	60	171	33.8	0.76	1.345109	0.13408	0.124772, 0.12900, 0.13100, 0.13150, 0.132008, 0.132245	0.6172
		40	141		1.13				
		30	247		1.51				
		20	226		2.27				
7.544	144	72	221	40.4	0.75	1.330868	0.13384	0.12641, 0.12950, 0.13100, 0.13180, 0.13220, 0.13236	0.5988
		48	462		1.13				
		42	660		1.29				
		36	288		1.51				
		24	237		2.26				
7.793	192	96	224	54.1	0.76	1.310381	0.13347	0.12798, 0.13019, 0.13125, 0.13181, 0.13209, 0.13221	0.5715
		64	249		1.13				
		56	190		1.30				
		48	210		1.51				
		32	235		2.27				

In this talk: only results based on continuum extrapolated correlation functions

See [Y. Burnier, H.-T. Ding, OK et al. JHEP11 (2017) 206] for more details

# Modelling the spectral function



differences between lattice and perturbation theory may have a simple explanation

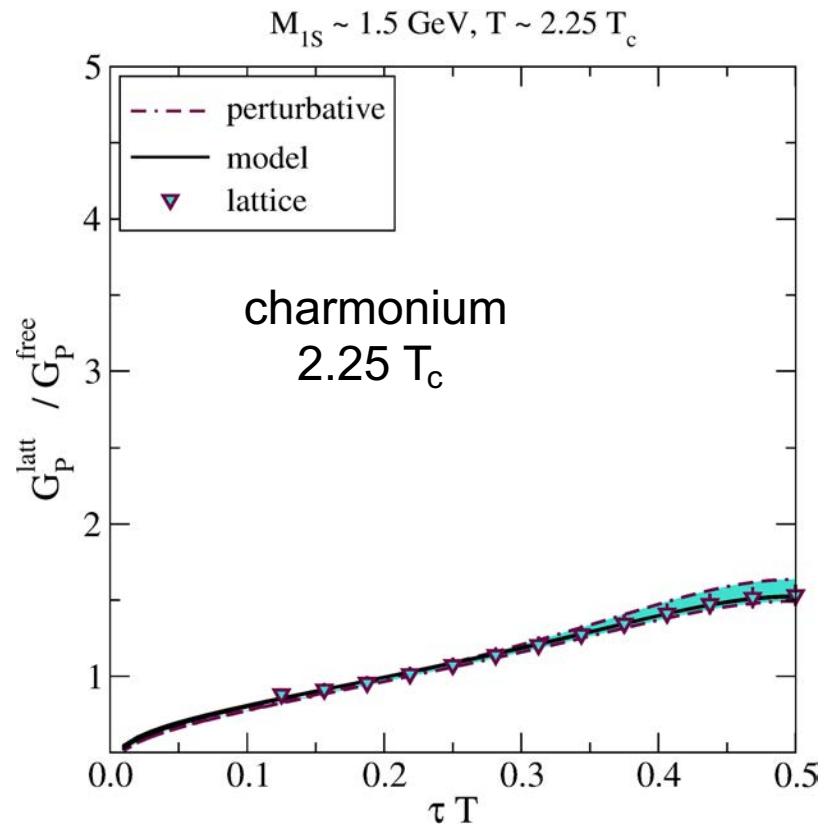
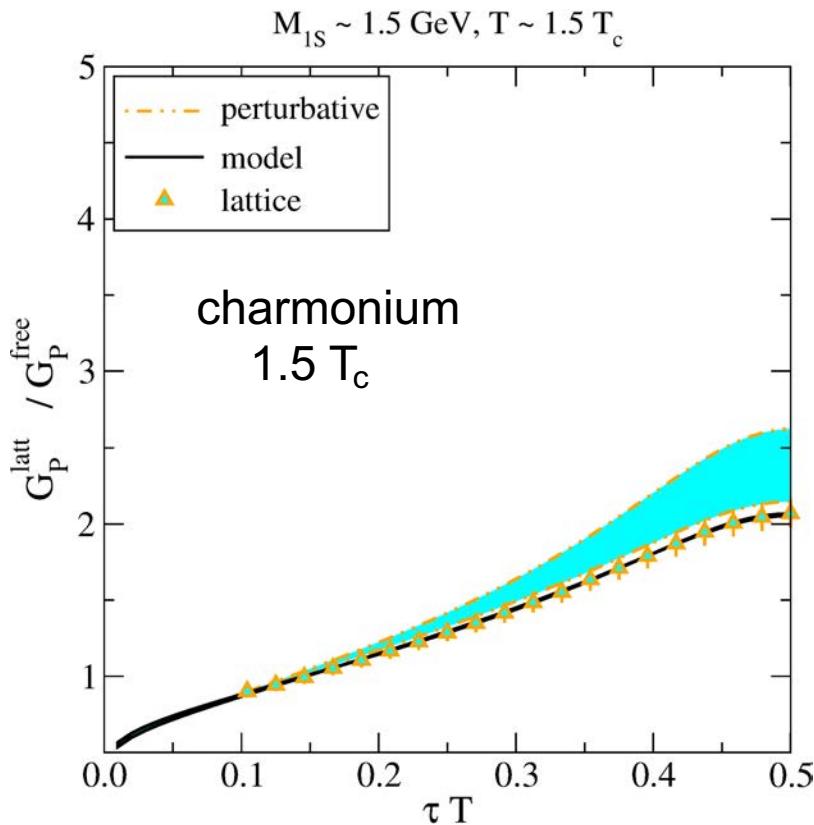
A: uncertainties related to the perturbative renormalization factors

B: non-perturbative mass shifts

$$\rho_P^{\text{model}}(\omega) \equiv A \rho_P^{\text{pert}}(\omega - B) .$$

→ continuum lattice data well described by this model with  $\chi^2/\text{d.o.f} < 1$

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differences between lattice and perturbation theory may have a simple explanation

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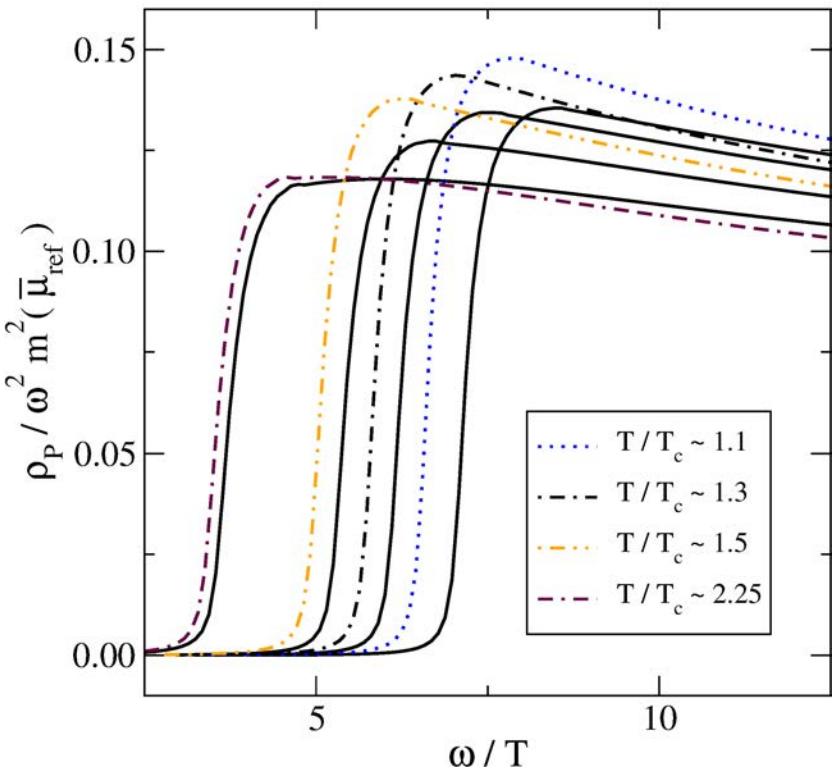
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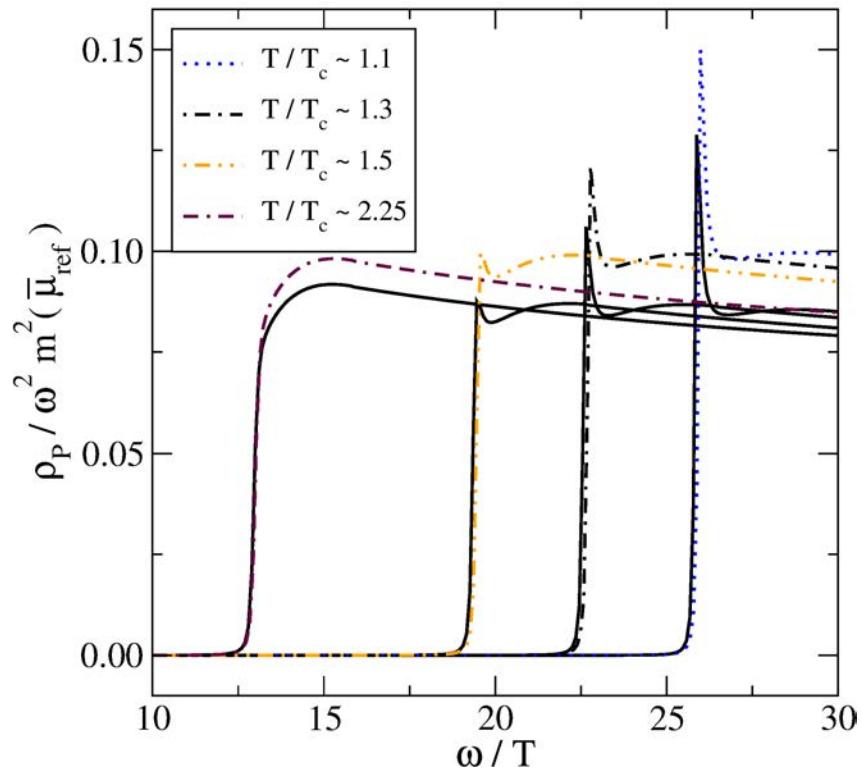
→ continuum lattice data well described by this model with  $\chi^2/\text{d.o.f} < 1$

[Y. Burnier, H.-T. Ding, OK et al. JHEP11 (2017) 206]

**charmonium:**  $m(\bar{\mu}_{\text{ref}}) = 1 \text{ GeV}$



**bottomonium:**  $m(\bar{\mu}_{\text{ref}}) = 5 \text{ GeV}$



**charmonium:**

no resonance peaks are needed for representing the lattice data even for  $1.1 T_c$   
modest threshold enhancement sufficient in the analyzed temperature region

**bottomonium:**

thermally broadened resonance peak  
present up to temperatures around  $1.5 T_c$

# Vector meson correlator – continuum limit

[H.T. Ding, O. Kaczmarek, A.-L. Lorenz, H. Ohno, H. Sandmeyer, H.-T. Shu, arXiv:2108.13693]

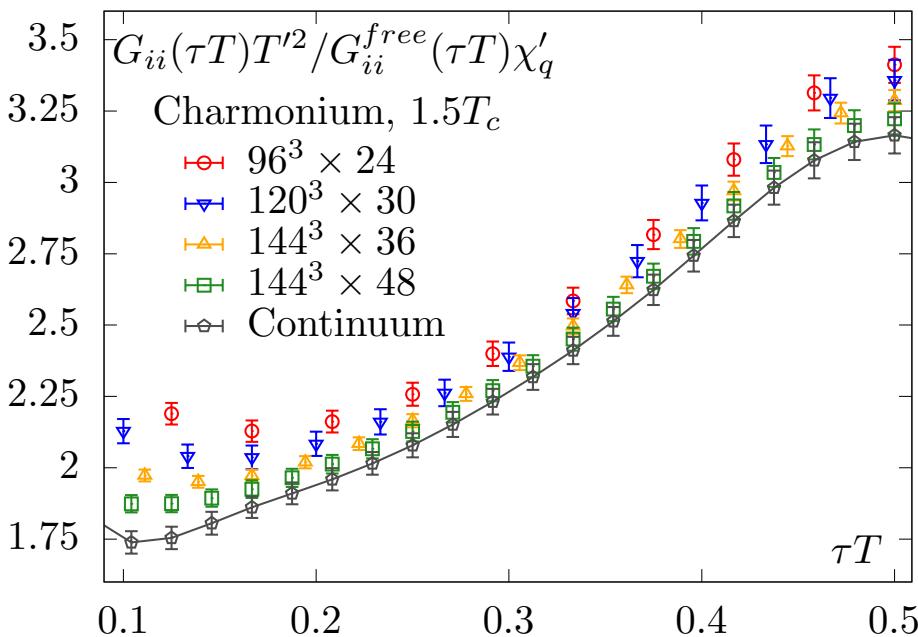
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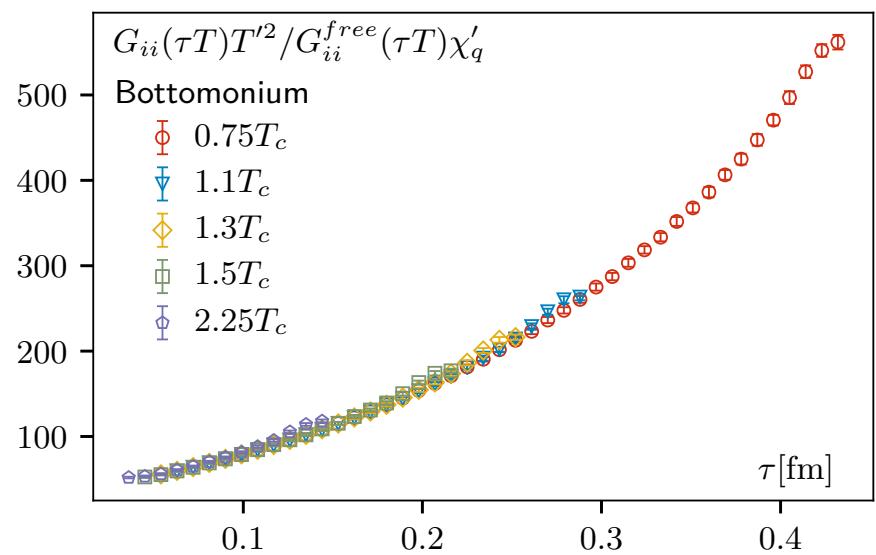
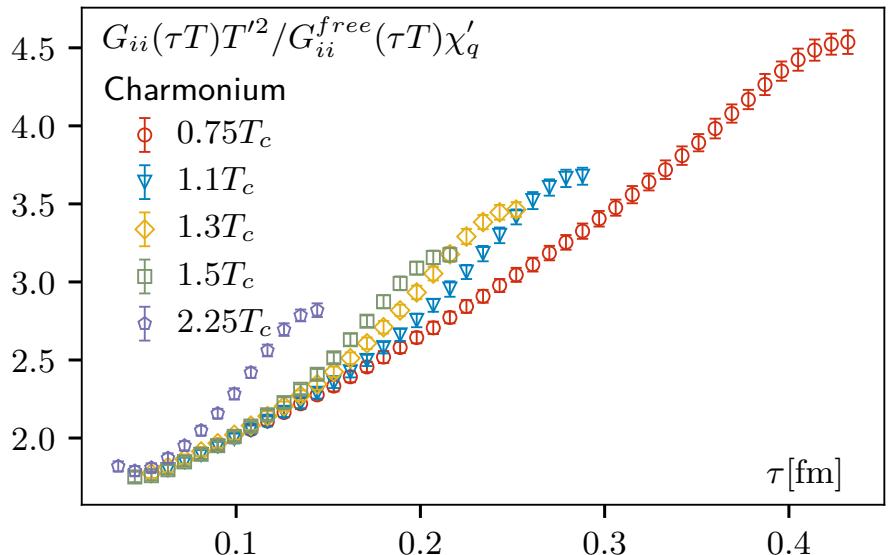
→ interpolate to physical c and b mass

**well controlled continuum extrapolation:**



$\beta$	$r_0/a$	$a[\text{fm}](a^{-1}[\text{GeV}])$	$N_\sigma$	$N_\tau$	$T/T_c$	# confs
7.192	26.6	0.018(11.19)	96	28	1.3	336
			24	1.5	336	336
			16	2.25	237	237
			60	0.75	171	171
			40	1.1	141	141
7.394	33.8	0.014(14.24)	120	30	1.5	247
			20	2.25	226	226
			72	0.75	221	221
			48	1.1	462	462
7.544	40.4	0.012(17.01)	144	42	1.3	660
			36	1.5	288	288
			24	2.25	237	237
			96	0.75	224	224
			64	1.1	291	291
7.793	54.1	0.009(22.78)	192	56	1.3	291
			48	1.5	348	348
			32	2.25	235	235

[H.T. Ding, O. Kaczmarek, A.-L. Lorenz, H. Ohno, H. Sandmeyer, H.-T. Shu, arXiv:2108.13693]



we model the UV part of the spectral function again by a perturbative model

$$\rho_{ii}^{mod}(\omega) = A \rho_V^{pert}(\omega - B)$$

in the vector channel an additional IR contribution appears as a transport peak

$$\rho_{ii}^{trans}(\omega) = 3\chi_q \frac{T}{M} \frac{\omega\eta}{\omega^2 + \eta^2} \quad D = \frac{1}{3\chi_q} \lim_{\omega \rightarrow 0} \frac{\rho_{ii}^{trans}(\omega)}{\omega} \quad \eta = \frac{T}{MD}$$

with an almost constant contribution to the correlator at large distances for small  $\eta$

$$\rho_{ii}(\omega) = \rho_{ii}^{trans}(\omega) + \rho_{ii}^{mod}(\omega)$$

$$G_{ii}(\tau T) = G_{ii}^{trans}(\tau T) + G_{ii}^{mod}(\tau T)$$

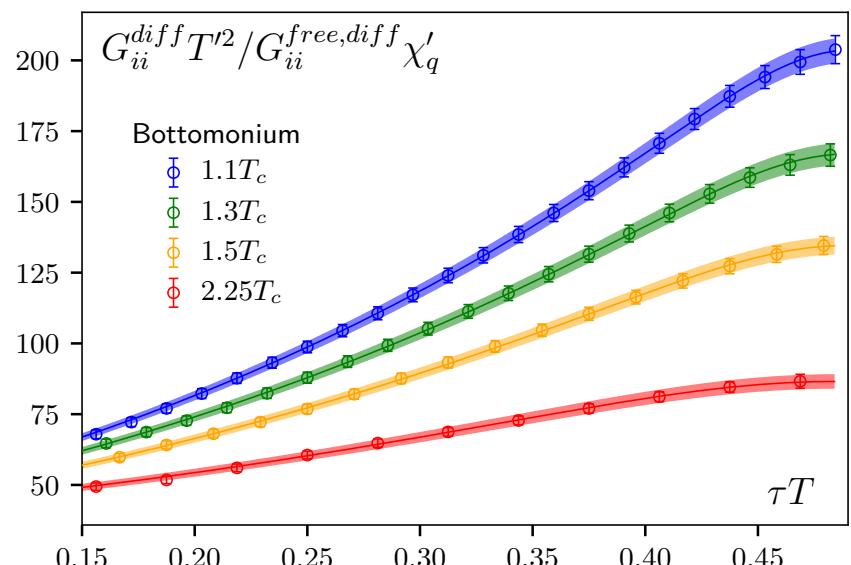
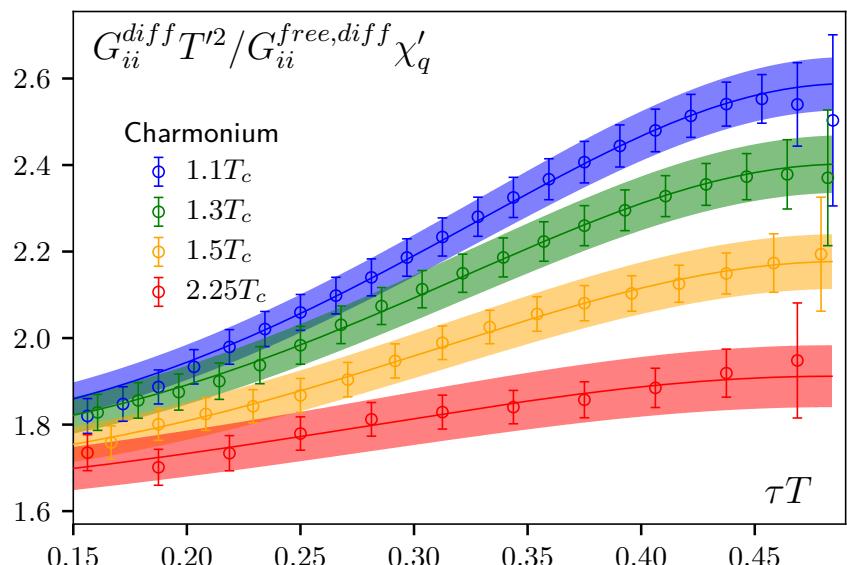
# Vector meson correlator - UV contribution

an almost constant contribution of the transport peak is effectively removed in

$$G_{ii}^{diff}(\tau/a) = G_{ii}(\tau/a + 1) - G_{ii}(\tau/a)$$

and the UV part of the spectral function well described by the perturbative model

$$\rho_{ii}^{mod}(\omega) = A \rho_V^{pert}(\omega - B)$$



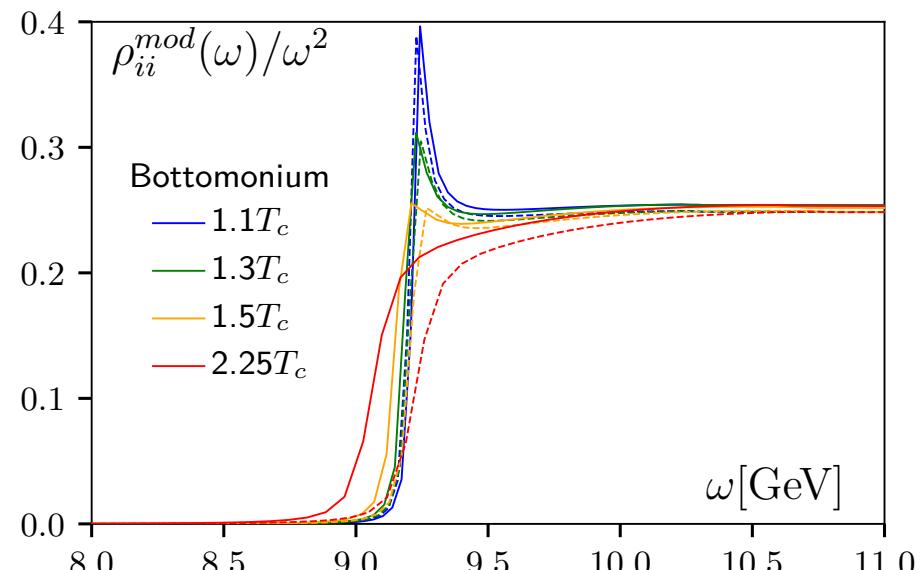
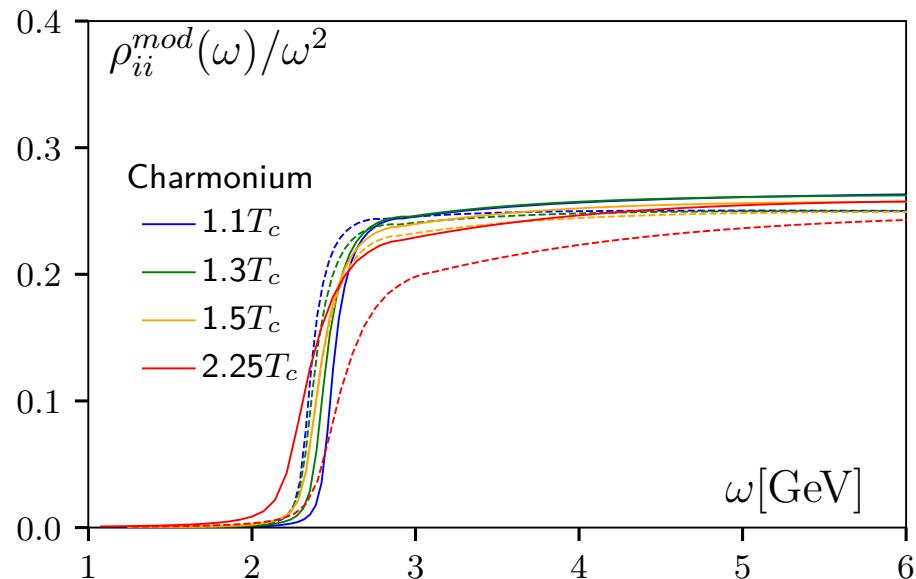
differences between lattice and perturbation theory may have a simple explanation

A: uncertainties related to renormalization

B: non-perturbative mass shifts

→ continuum lattice data well described by this model with  $\chi^2/\text{d.o.f} < 1$

UV part well described by the perturbative model:  $\rho_{ii}^{mod}(\omega) = A\rho_V^{pert}(\omega - B)$



### charmonium:

no resonance peaks are needed for representing the lattice data even for 1.1  $T_c$   
modest threshold enhancement sufficient in the analyzed temperature region

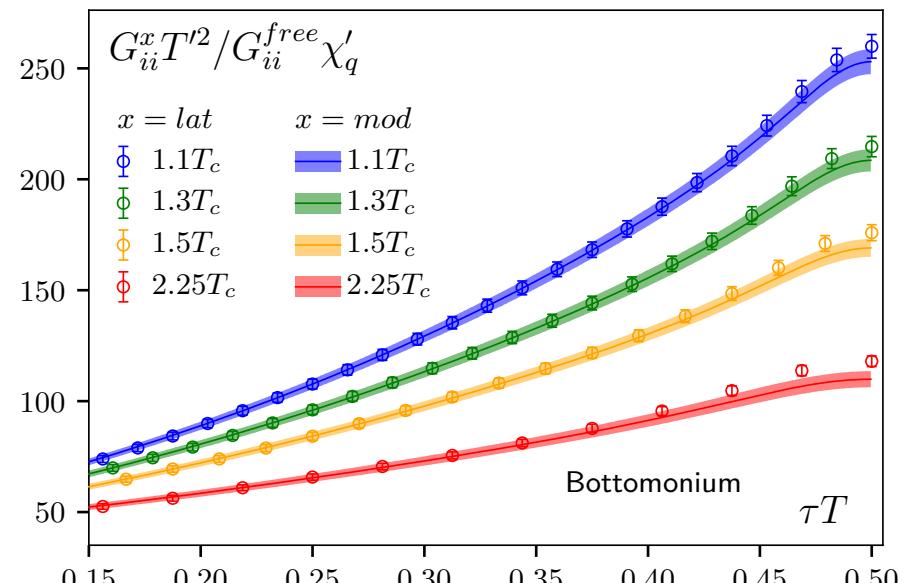
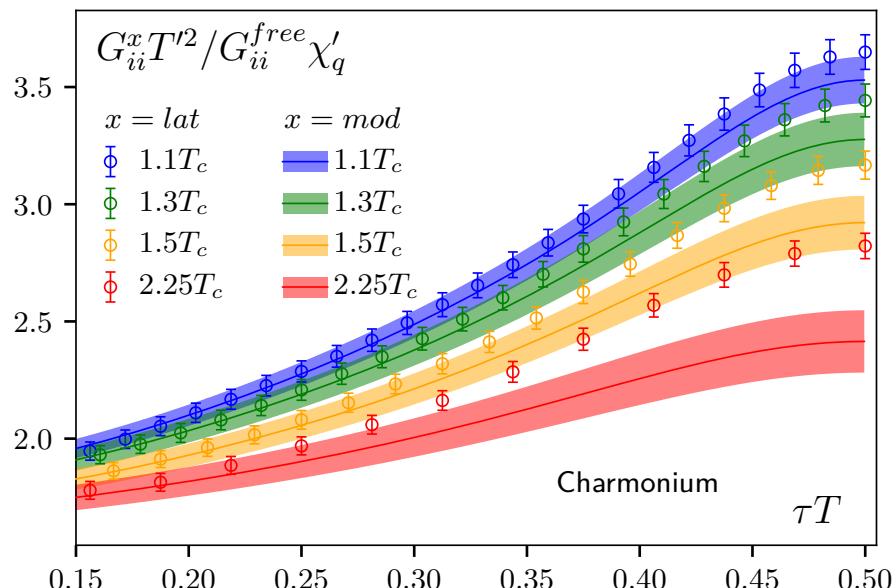
### bottomonium:

thermally broadened resonance peak  
present up to temperatures around 1.5  $T_c$

comparison of the full correlator to the model

$$\rho_{ii}(\omega) = \rho_{ii}^{trans}(\omega) + \rho_{ii}^{mod}(\omega)$$

$$G_{ii}(\tau T) = G_{ii}^{trans}(\tau T) + G_{ii}^{mod}(\tau T)$$



comparison of the full correlator to the model → difference due to transport contribution

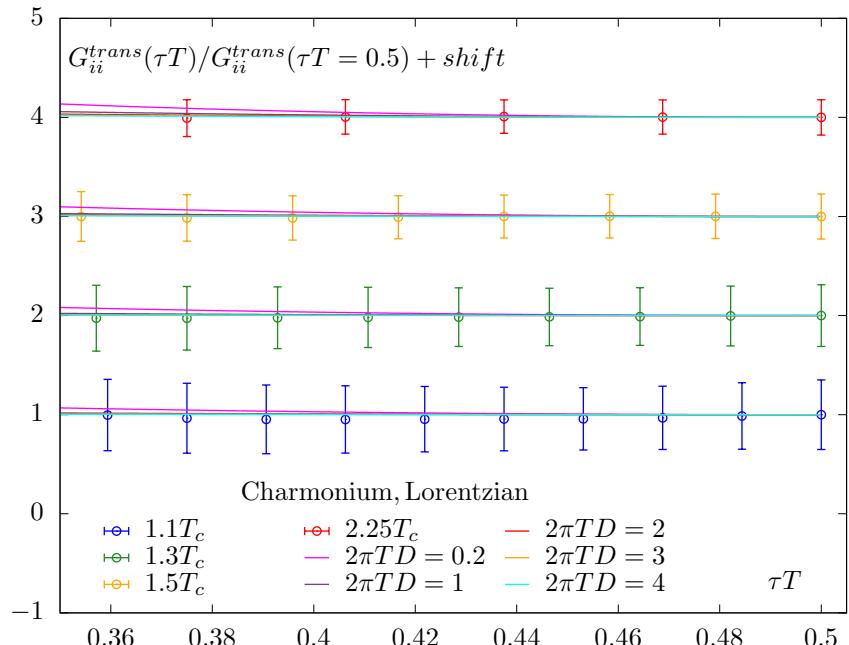
$$\rho_{ii}^{trans}(\omega) = 3\chi_q \frac{T}{M} \frac{\omega\eta}{\omega^2 + \eta^2}$$

$$D = \frac{1}{3\chi_q} \lim_{\omega \rightarrow 0} \frac{\rho_{ii}^{trans}(\omega)}{\omega}$$

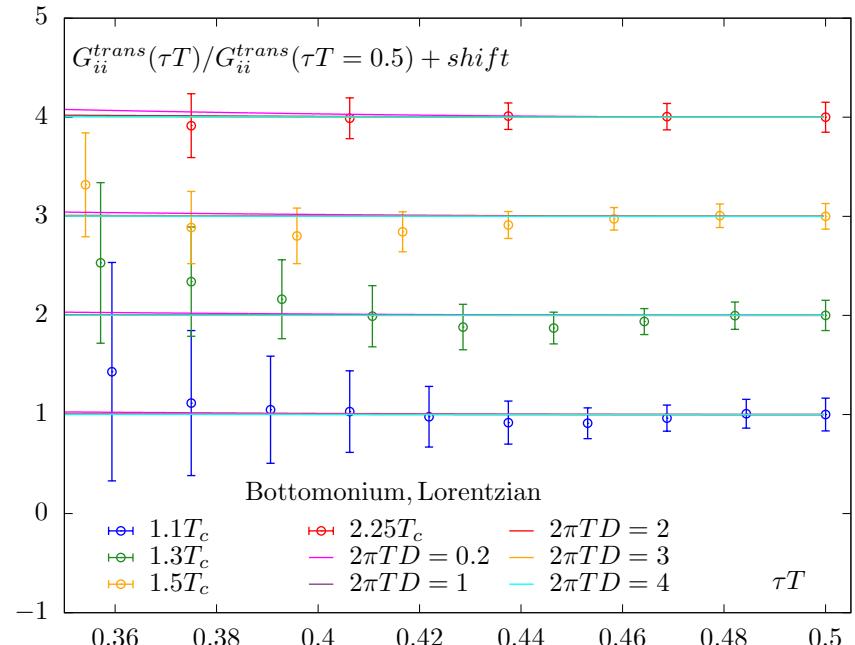
$$\eta = \frac{T}{MD}$$

transport contribution in the vector channel:  $\rho(\omega \ll T) \simeq 2\chi_{00} \frac{T}{M} \frac{\omega\eta}{\omega^2 + \eta^2}$ ,  $\eta = \frac{T}{MD}$

## charmonium:



## bottomonium:



varying  $2\pi DT$  between 0.2 and 4

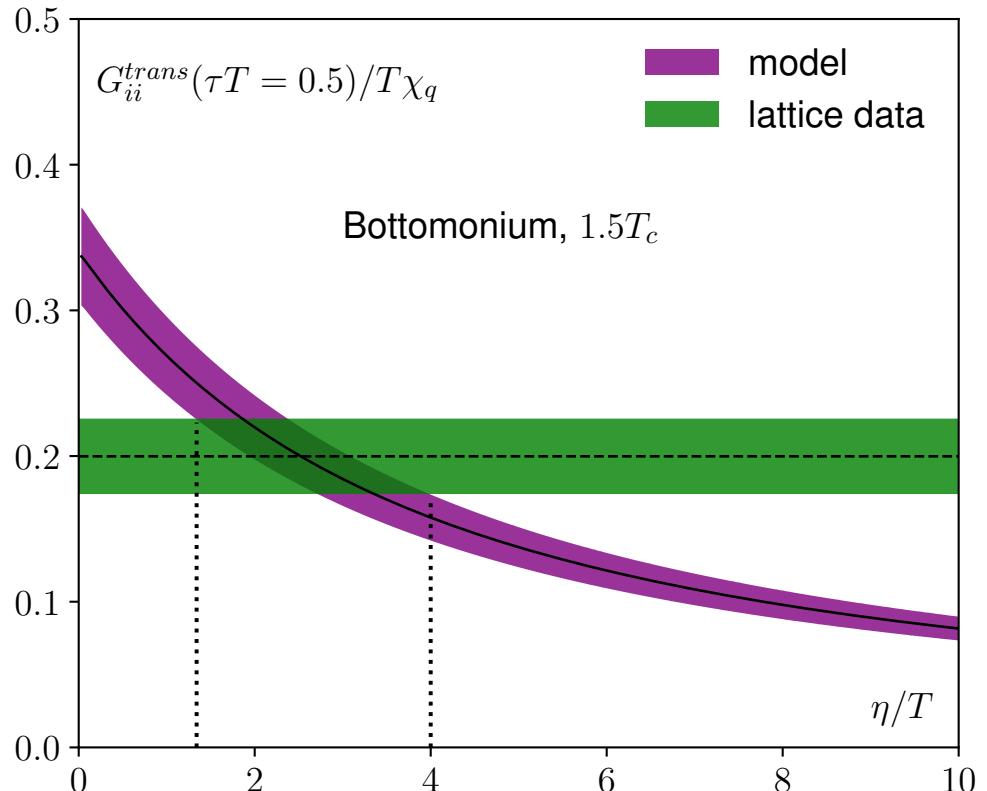
→ only small curvature due to transport contribution within our errors

→ hard to determine transport coefficients w/o additional constraints

transport contribution in the vector channel:  $\rho(\omega \ll T) \simeq 2\chi_{00} \frac{T}{M} \frac{\omega\eta}{\omega^2 + \eta^2}, \quad \eta = \frac{T}{MD}$

correlator at the midpoint most sensitive to the transport contribution:

allows to estimate  $\eta/T$   
for charm and bottom



Taylor expansion of the correlator around the midpoint  $\rightarrow$  thermal moments:

$$G(\tau, T) = G^{(0)} \sum_{n=0}^{\infty} R_{2n,0} \left( \tau T - \frac{1}{2} \right)^{2n}, \quad R_{n,m} \equiv \frac{G^{(n)}}{G^{(m)}}$$

$$G^{(n)} = \frac{1}{n!} \left. \frac{d^n G(\tau, T)}{d(\tau T)^n} \right|_{\tau T = 1/2} = \frac{1}{n!} \int_0^\infty \frac{d\omega}{2\pi} \left( \frac{\omega}{T} \right)^n \frac{\rho(\omega)}{\sinh(\omega/2T)}$$

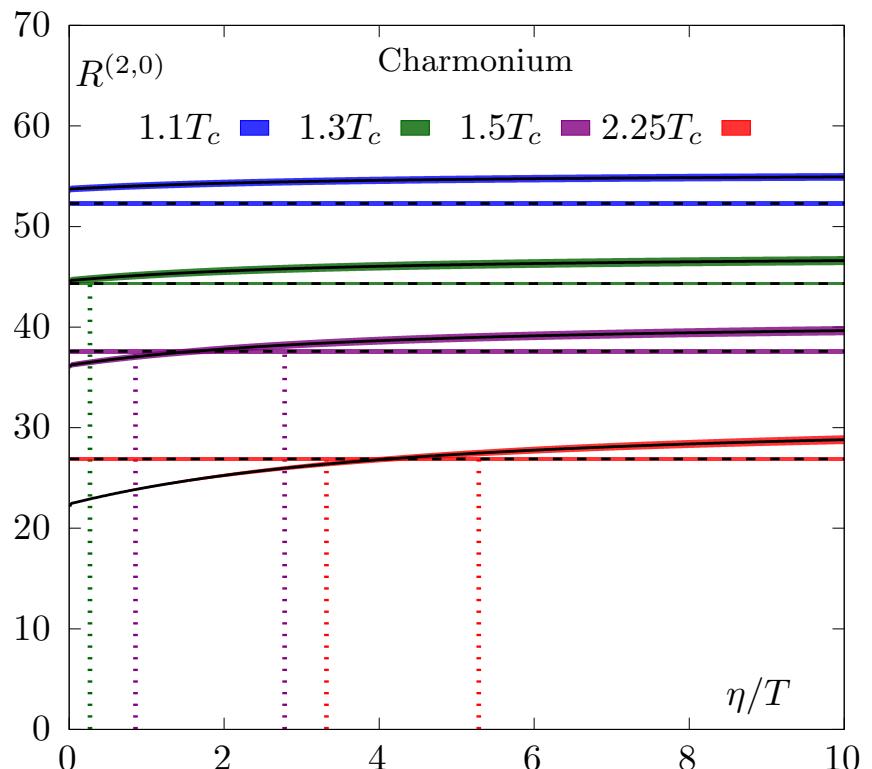
transport contribution in the vector channel:

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correlator at the midpoint most sensitive to the transport contribution:

allows to estimate  $\eta/T$   
for charm

Second moment almost constant  
for bottom



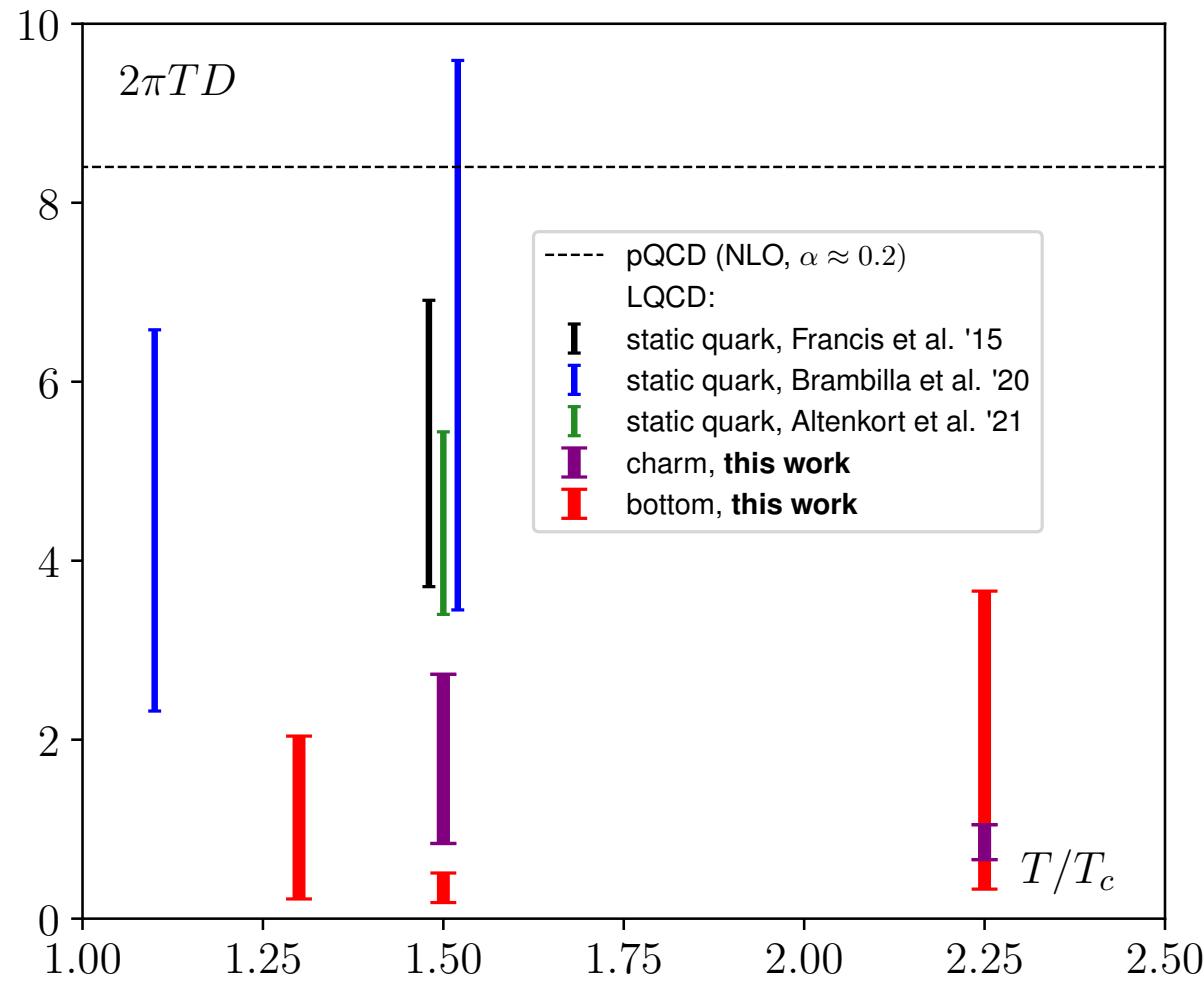
Taylor expansion of the correlator around the midpoint → thermal moments:

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$$G^{(n)} = \frac{1}{n!} \left. \frac{d^n G(\tau, T)}{d(\tau T)^n} \right|_{\tau T = 1/2} = \frac{1}{n!} \int_0^\infty \frac{d\omega}{2\pi} \left( \frac{\omega}{T} \right)^n \frac{\rho(\omega)}{\sinh(\omega/2T)}$$

Using these estimates for  $\eta/T$  and  $2\pi TD = 2\pi \frac{T}{\eta} \frac{T}{M}$

with quark masses  $M_c = 1.28 (\pm 10\%) \text{ GeV}$  and  $M_b = 4.18 (\pm 10\%) \text{ GeV}$



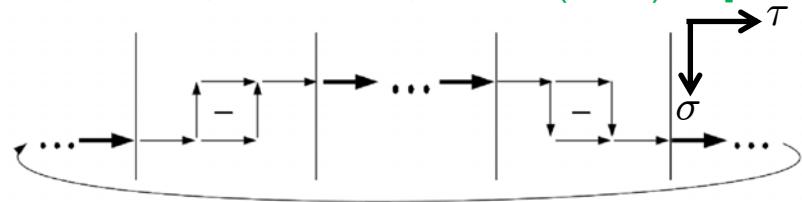
# Heavy Quark Momentum Diffusion Constant $\kappa$

Heavy Quark Effective Theory (HQET) in the large quark mass limit  
**for a single quark in medium**

leads to a (pure gluonic) “color-electric correlator”

[J.Casalderrey-Solana, D.Teaney, PRD74(2006)085012,  
S.Caron-Huot,M.Laine,G.D. Moore,JHEP04(2009)053]

$$G_E(\tau) \equiv -\frac{1}{3} \sum_{i=1}^3 \frac{\left\langle \text{Re Tr} \left[ U\left(\frac{1}{T}; \tau\right) g E_i(\tau, \mathbf{0}) U(\tau; 0) g E_i(0, \mathbf{0}) \right] \right\rangle}{\left\langle \text{Re Tr} [U(\frac{1}{T}; 0)] \right\rangle}$$



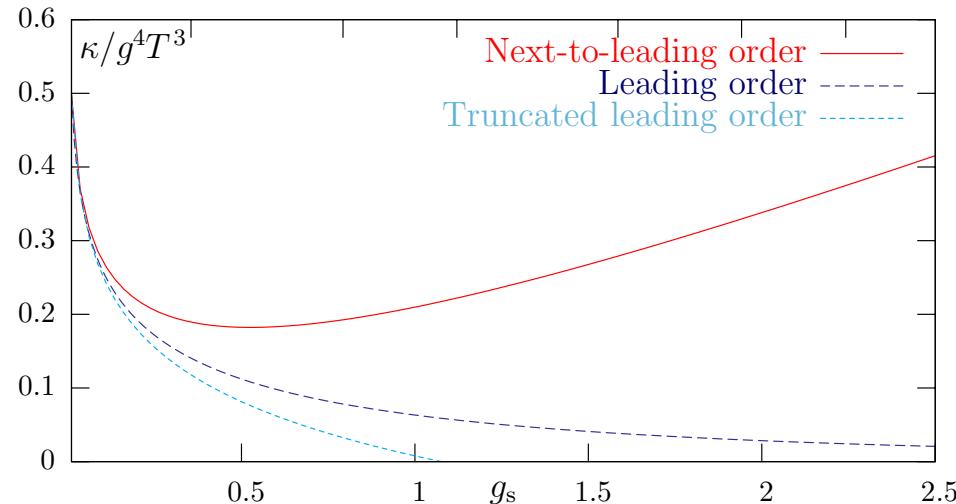
Smooth limit expected from NLO PT

[Caron-Huot, M.Laine, G.Moore, JHEP 0904 (2009) 053]

$$\kappa = \lim_{\omega \rightarrow 0} \frac{2T \rho_E(\omega)}{\omega}$$

**NLO perturbative calculation:**

[Caron-Huot, G. Moore, JHEP 0802 (2008) 081]



- large correction towards strong interactions
- non-perturbative lattice methods required

[L. Altenkort, A.M. Eller, OK, L. Mazur, G.D. Moore, PRD103 (2021) 014511]

Quenched Lattice QCD on large and fine isotropic lattices at  $T \simeq 1.5 T_c$

- standard Wilson gauge action
- fixed aspect ratio  $N_s/N_t = 4$ , i.e. fixed physical volume  $(2\text{fm})^3$

$a$ (fm)	$a^{-1}$ (GeV)	$N_\sigma$	$N_\tau$	$\beta$	$T/T_c$	#conf.
0.0262	7.534	64	16	6.8736	1.51	10000
0.0215	9.187	80	20	7.0350	1.47	10000
0.0178	11.11	96	24	7.1920	1.48	10000
0.0140	14.14	120	30	7.3940	1.51	10000
0.0117	16.88	144	36	7.5440	1.50	10000

- perform the continuum limit,  $a \rightarrow 0 \leftrightarrow N_t \rightarrow \infty$
- determine  $\kappa$  in the continuum using an Ansatz for the spectral fct.  $\rho(\omega)$
- scale setting using  $r_0$  and  $t_0$  scale [A.Francis,OK,M.Laine, T.Neuhaus, H.Ohno, PRD91(2015)096002]
- previous study using multilevel with link-integration techniques to improve the signal  
[A.Francis, OK et al., PRD92(2015)116003]
- new study using gradient flow method to improve the signal

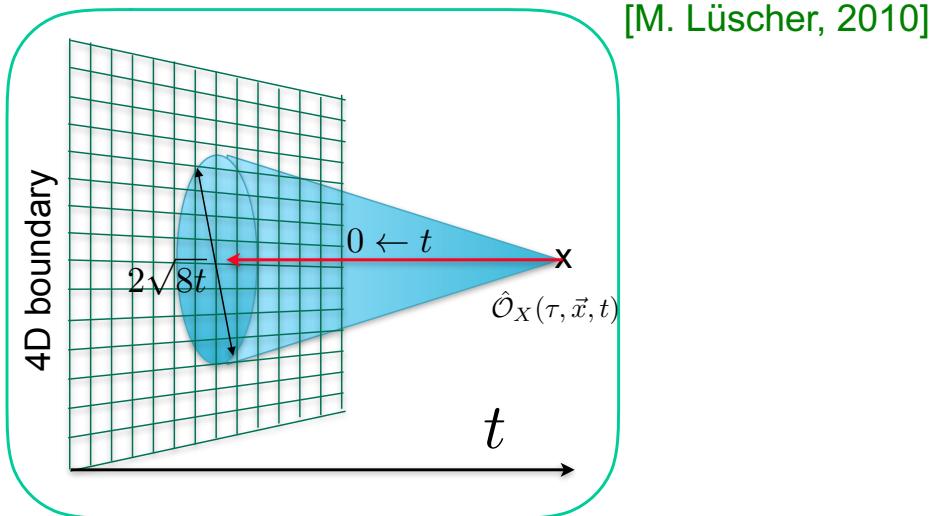
[L. Altenkort, A.M. Eller, OK, L. Mazur, G.D. Moore, PRD103 (2021) 014511]

Noise reduction methods used in the quenched approximation not applicable in full QCD

Gradient flow - *diffusion* equation for the gauge fields along extra dimension, *flow-time t*

$$\frac{\partial}{\partial t} A_\mu(t, x) = -\frac{\partial S_{\text{YM}}}{\partial A_\mu}$$

$$A_\mu(t=0, x) = A_\mu(x)$$



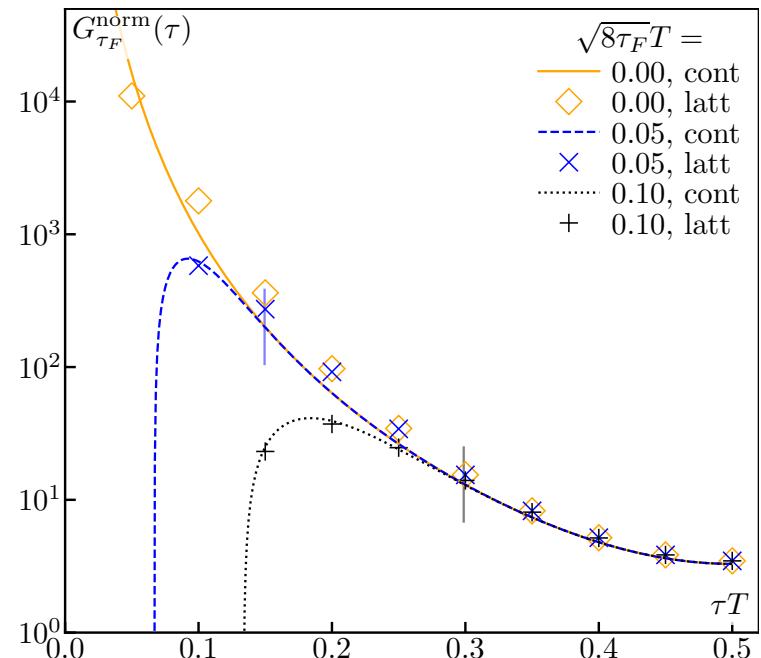
- continuous smearing of the gauge fields, effective smearing radius:  $r_{\text{smear}} \sim \sqrt{8t}$
- gauge fields become smooth and renormalized
- no UV divergences at finite flow-time  $t \rightarrow$  operators of flowed fields are renormalized
- UV fluctuations effectively reduces  $\rightarrow$  noise reduction technique
- Applicable in quenched and full QCD  $\rightarrow$  first case study in quenched

**What is the flow time dependence of correlation functions?**

**How to perform the continuum and  $t \rightarrow 0$  limit correctly?**

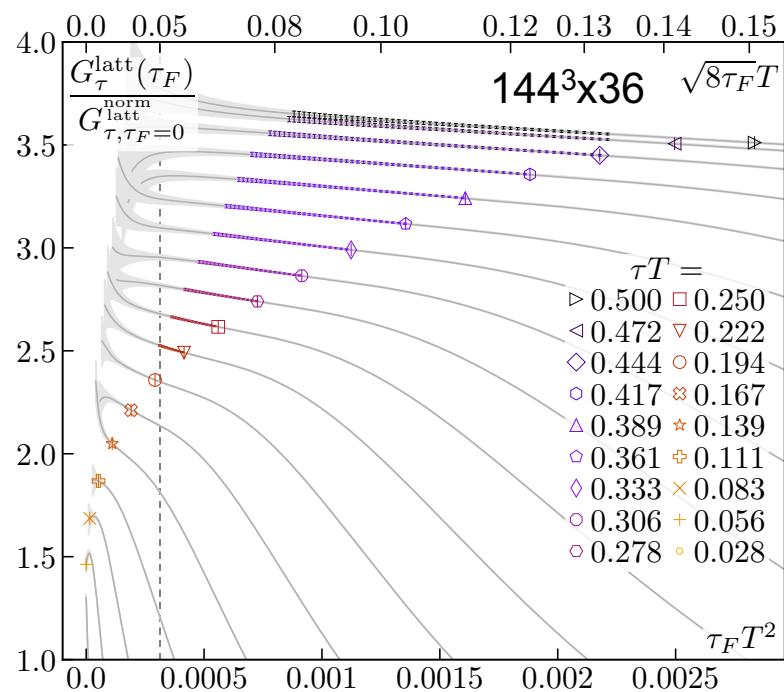
LO perturbative limits  
for the flow-time dependence:

$$\tilde{\tau}_f < 0.1136(\tau T)^2$$



[A.M Eller, G.D. Moore, PRD97 (2018) 114507]

First lattice QCD results on the flow  
dependence of the color-electric correlator:

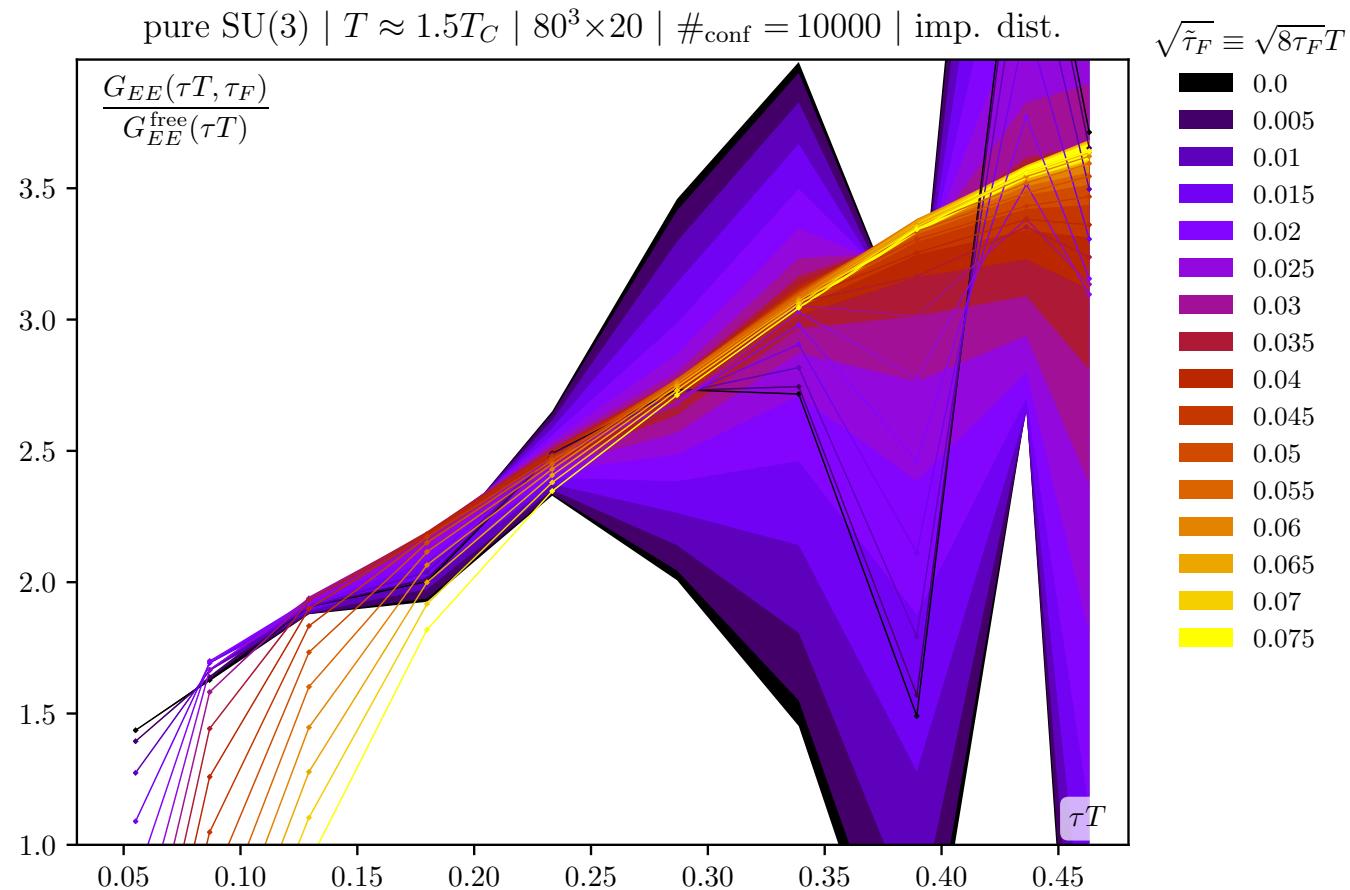


[L. Altenkort, A.M. Eller, OK, L. Mazur, G.D. Moore,  
PRD103 (2021) 014511]

Effective reduction of UV fluctuations → good noise reduction technique

Signal gets destroyed at flow times above the perturbative estimate

Linear behavior at intermediate flow times

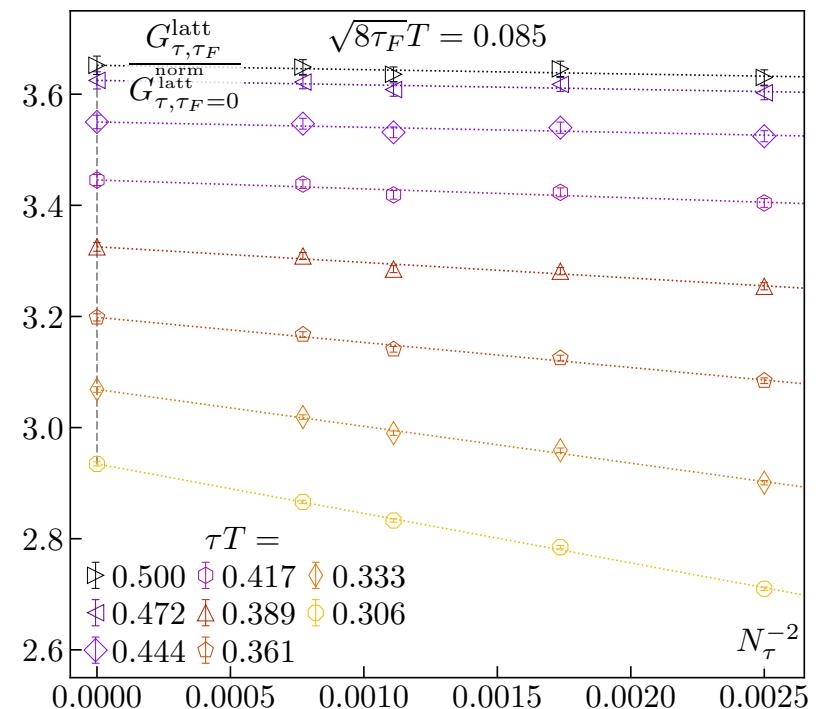
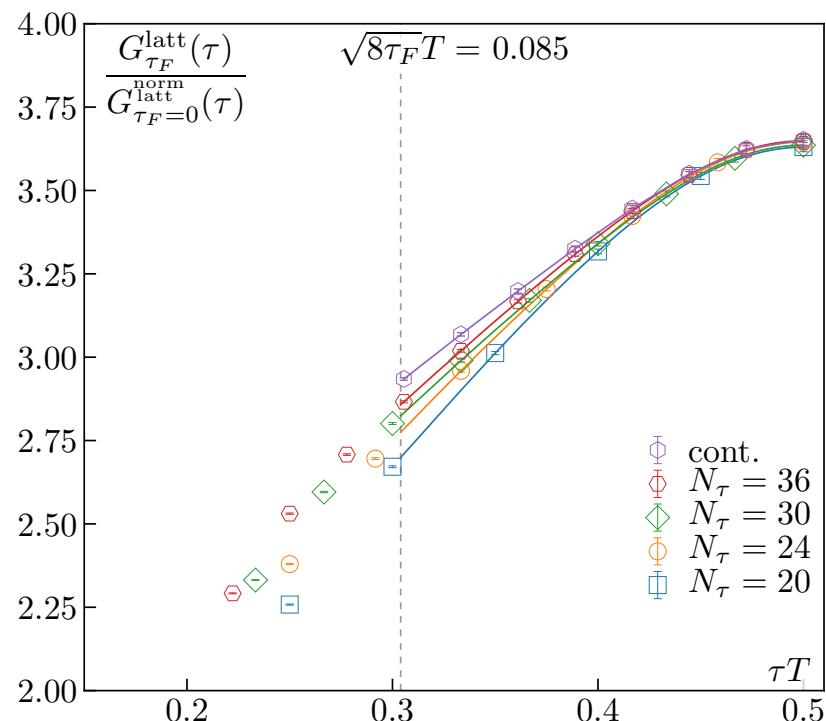


Effective reduction of UV fluctuations → good noise reduction technique

Signal gets destroyed at small distances → large- $\omega$  part of the spectral function modified

Strategy: 1) continuum limit at fixed physical flow times 2) flow time limit  $t \rightarrow 0$

- signal to noise ratio strongly improves with flow
- operators become renormalized after some amount of flow
- cut-off effects get reduced with increasing flow time
- continuum limit,  $a \rightarrow 0$  ( $N_t \rightarrow \infty$ ), at fixed physical flow time:



- well defined continuum correlators for different finite flow times
- next step:** flow time to zero extrapolation of continuum correlators

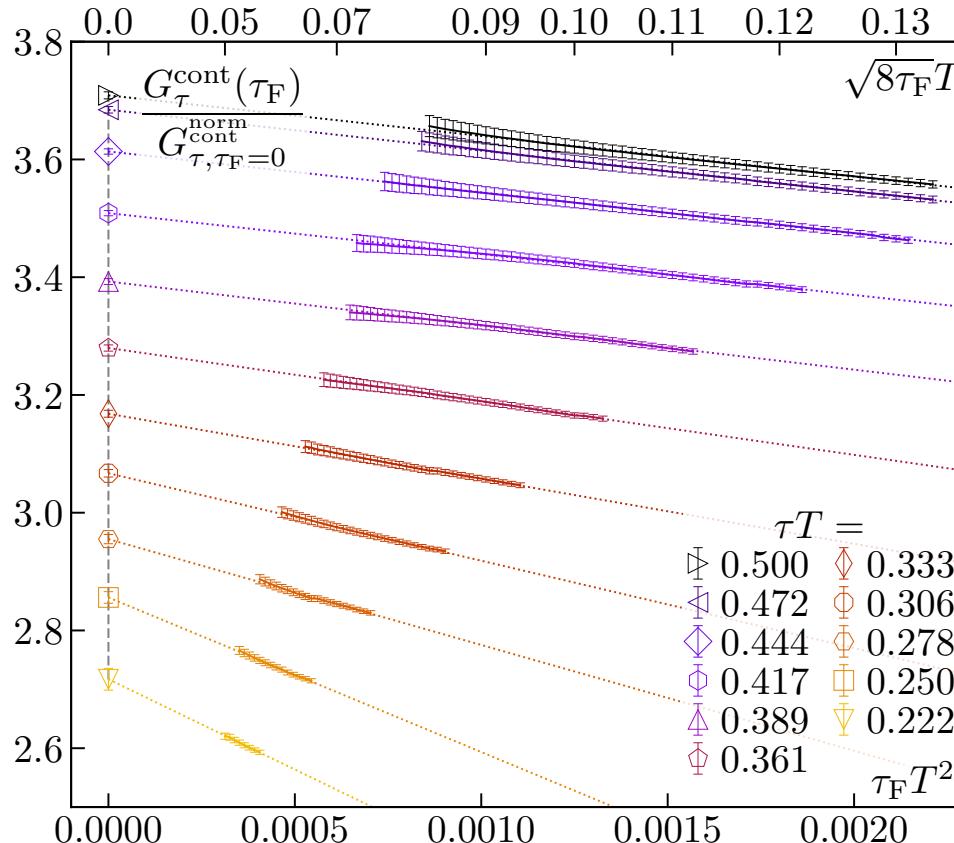
Continuum limit,  $a \rightarrow 0$  ( $N_t \rightarrow \infty$ ),

at fixed physical flow time:

**followed by**

Flow time limit,  $t \rightarrow 0$ ,

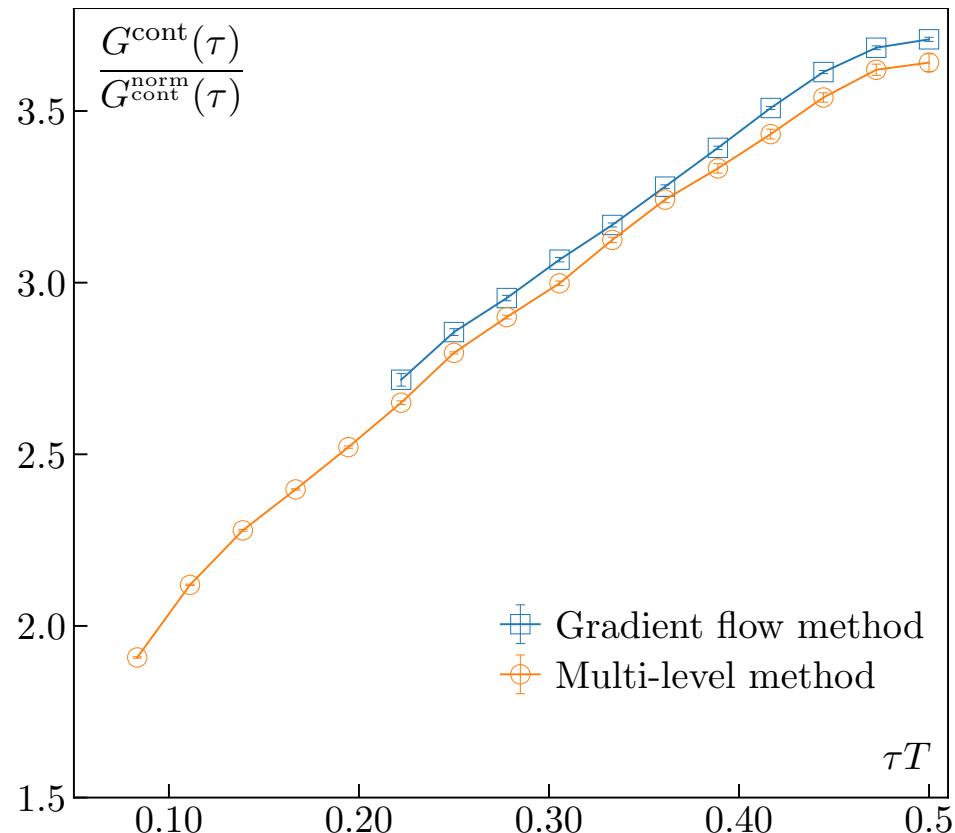
for each distance:



→ well defined continuum and flow time extrapolation

→ well defined renormalized correlation function

Comparison of gradient flow and multi-level method:



gradient flow:  $N_t=16,20,24,30,36$

[L. Altenkort, A.M. Eller, OK, L. Mazur,  
G.D. Moore, PRD103 (2021) 014511]

multi-level:  $N_t=20,24,36,48$

with an update in the analysis and  
perturbative renormalization of data from  
[A.Francis, OK et al., PRD92(2015)116003]

Comparable  $\tau T$  dependence at large distances

Uncertainty in the renormalization resolved by gradient flow

Heavy quark momentum diffusion coefficient expected to be slightly larger

Extension to full QCD possible using gradient flow method

[L. Altenkort, A.M. Eller, OK, L. Mazur, G.D. Moore, PRD103 (2021) 014511 and arXiv:2109.11303]

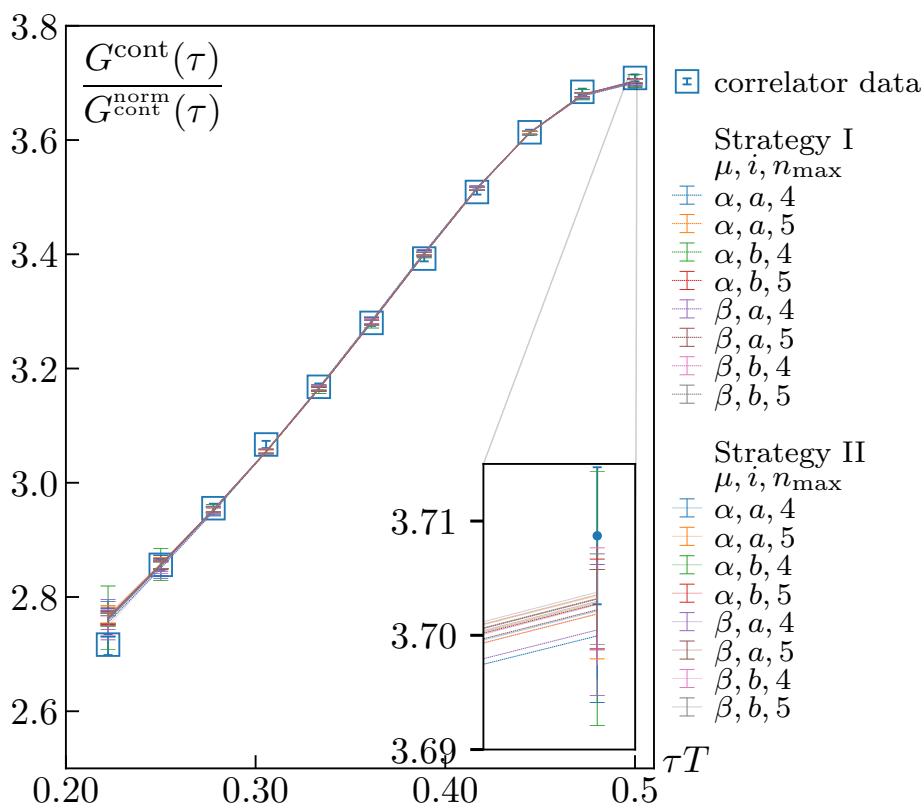
Using continuum extrapolated lattice correlators

Spectral function models with correct asymptotic behavior

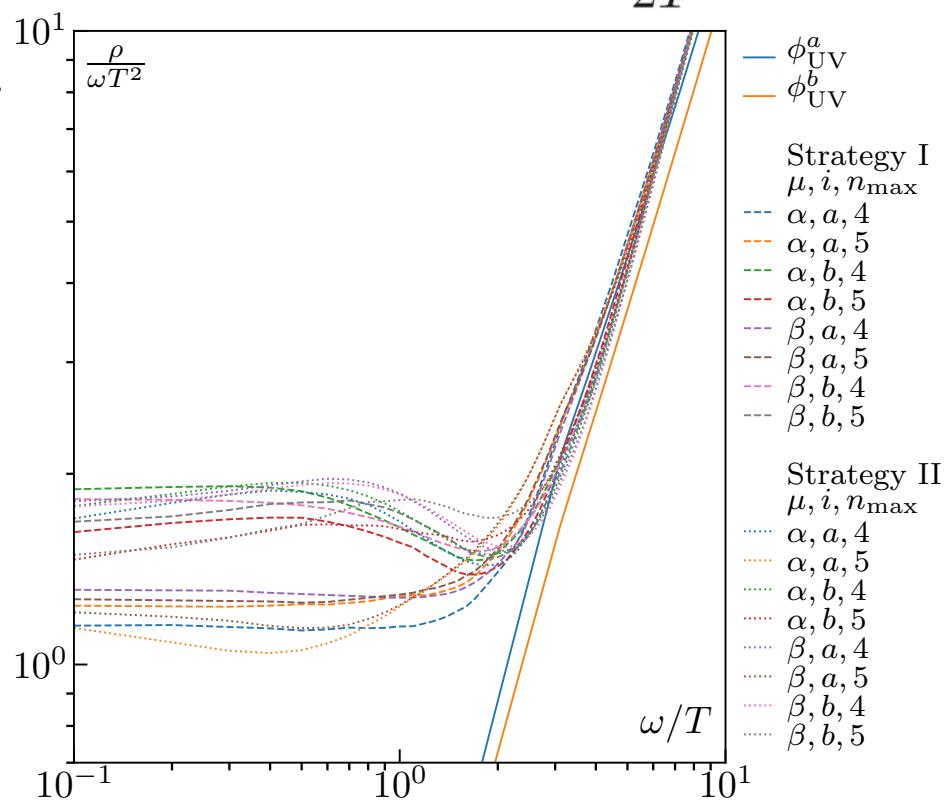
modeling corrections to  $\rho_{IR}$  by a power series in  $\omega$

$$\rho_{UV}(\omega) = \frac{g^2(\bar{\mu}_\omega) C_F \omega^3}{6\pi}$$

$$\rho_{IR}(\omega) = \frac{\kappa\omega}{2T}$$

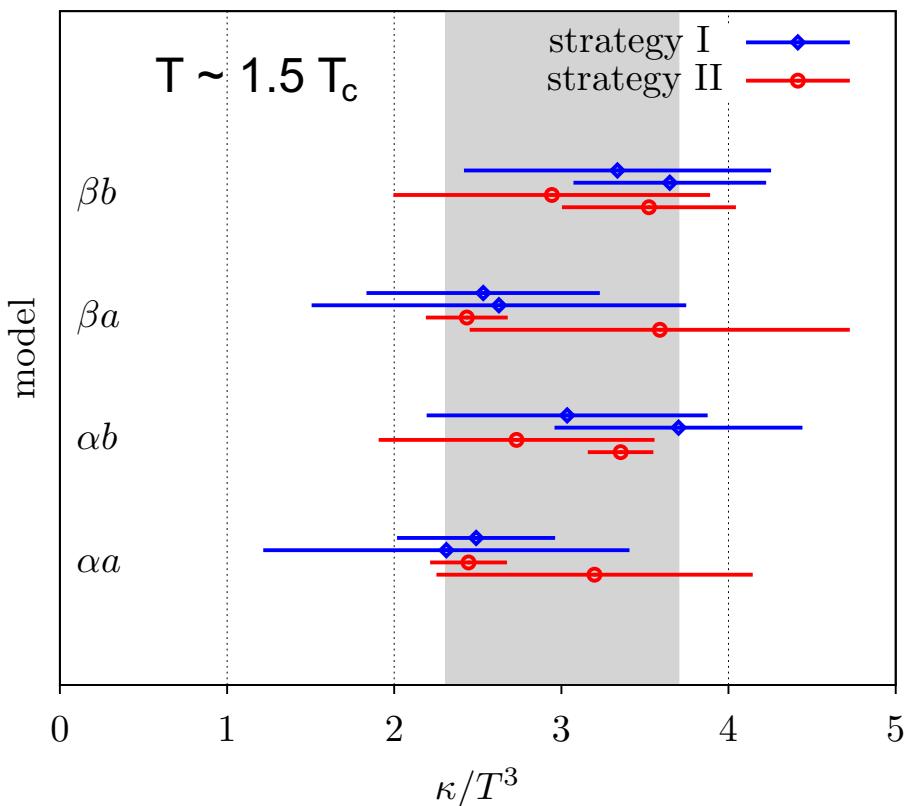


$$G_{\text{model}}(\tau) \equiv \int_0^\infty \frac{d\omega}{\pi} \rho_{\text{model}}(\omega) \frac{\cosh\left(\frac{1}{2} - \tau T\right)}{\sinh \frac{\omega}{2T}} \frac{\omega}{T}$$



$$\kappa/T^3 = \lim_{\omega \rightarrow 0} \frac{2T\rho_E(\omega)}{\omega}$$

[L. Altenkort, A.M. Eller, OK, L. Mazur, G.D. Moore, PRD103 (2021) 014511]



Detailed analysis of systematic uncertainties  
→ continuum estimate of  $\kappa$  :

$$\kappa/T^3 = \lim_{\omega \rightarrow 0} \frac{2T\rho_E(\omega)}{\omega} = 2.31 \dots 3.70$$

Related to diffusion coefficient D and drag coefficient  $\eta_D$  (in the non-relativistic limit)

$$2\pi TD = 4\pi \frac{T^3}{\kappa} = 3.40 \dots 5.44$$

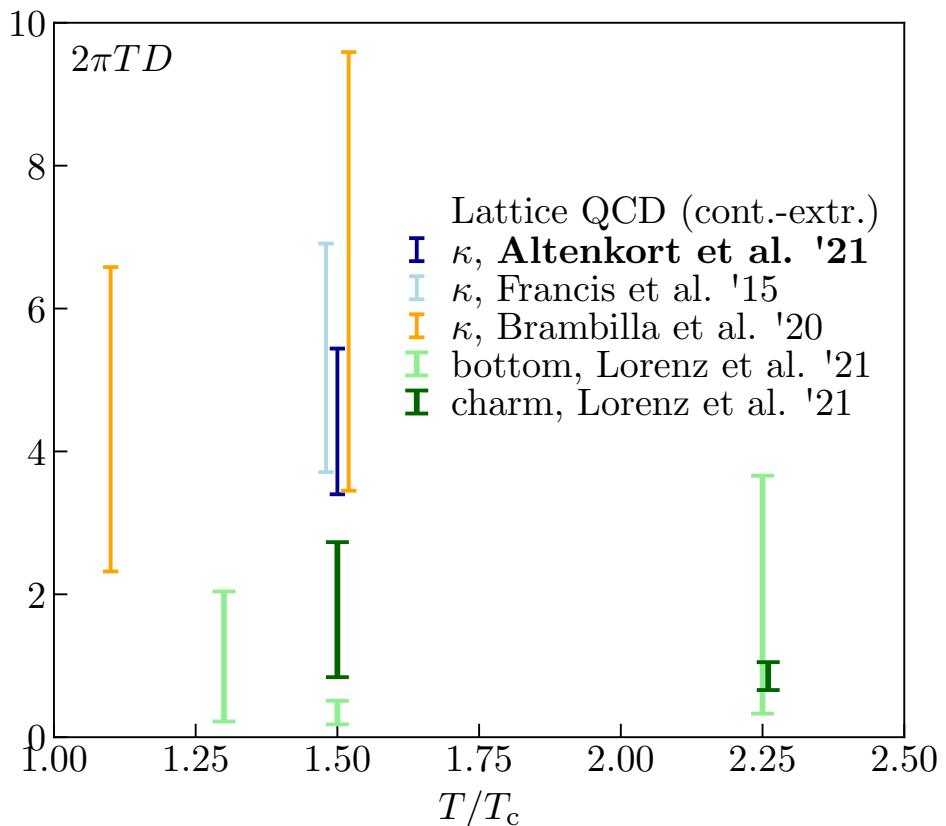
$$\eta_D = \frac{\kappa}{2M_{kin}T} \left( 1 + O\left( \frac{\alpha_s^{3/2} T}{M_{kin}} \right) \right)$$

time scale associated with the kinetic equilibration of heavy quarks:

$$\tau_{kin} = \eta_D^{-1} = (1.63 \dots 2.61) \left( \frac{T_c}{T} \right)^2 \left( \frac{M}{1.5 \text{GeV}} \right) \text{fm/c}$$

→ close to  $T_c$ ,  $\tau_{kin} \simeq 1 \text{fm/c}$  and therefore charm quark kinetic equilibration appears to be almost as fast as that of light partons.

Recent results for  $2\pi TD$  based on continuum extrapolated lattice data



heavy quark mass limit

[L. Altenkort, A.M. Eller, OK, L. Mazur, G.D. Moore,  
PRD103 (2021) 014511]  
[A. Francis, OK, M. Laine, T. Neuhaus, H. Ohno,  
PRD92(2015)116003]

[N. Brambilla, V. Leino, P. Petreczky, A. Vairo,  
PRD102 (2020)]

finite quark mass: bottom and charm

[H.T. Ding, O. Kaczmarek, A.-L. Lorenz, H. Ohno,  
H. Sandmeyer, H.T. Shu, arXiv:2108.13693]

Next steps: understand the quark mass dependence

- finite mass correction to  $\kappa$  is encoded in a color-magnetic correlator  
[A. Bouttefoux, M. Laine, JHEP12 (2020) 150]
- but renormalization of the color-magnetic correlator needs to be solved  
[M. Laine, JHEP06 (2021) 139]

Well defined methodology to extract spectral and transport properties from lattice QCD  
Continuum extrapolated correlators from quenched lattice QCD are  
well described by perturbative model spectral functions down to  $T \approx T_c$   
for observable with an external scale (mass, momentum)  $\gtrsim \pi T$

**All results in this talk were obtained in the quenched approximation**

**What may change when going to full QCD?**

$$\Lambda_{\overline{\text{MS}}}|_{N_f=0} \approx 255 \text{ MeV}$$

$$\Lambda_{\overline{\text{MS}}}|_{N_f=3} \approx 340 \text{ MeV}$$

$$T_c|_{N_f=0} \approx 1.24 \Lambda_{\overline{\text{MS}}}|_{N_f=0}$$

$$T_c|_{N_f=3} \approx 0.45 \Lambda_{\overline{\text{MS}}}|_{N_f=3}$$

$$\alpha_s^{EQCD}|_{T \simeq T_c} \simeq 0.2$$

$$\alpha_s^{EQCD}|_{T \simeq T_c} > 0.3$$

1<sup>st</sup> order deconfinement transition

chiral crossover transition

Physics may become more non-perturbative, more interesting, more complicated...

**Quenched theory is a nice playground but full QCD studies crucial!**

Stay tuned for the first results in full QCD...